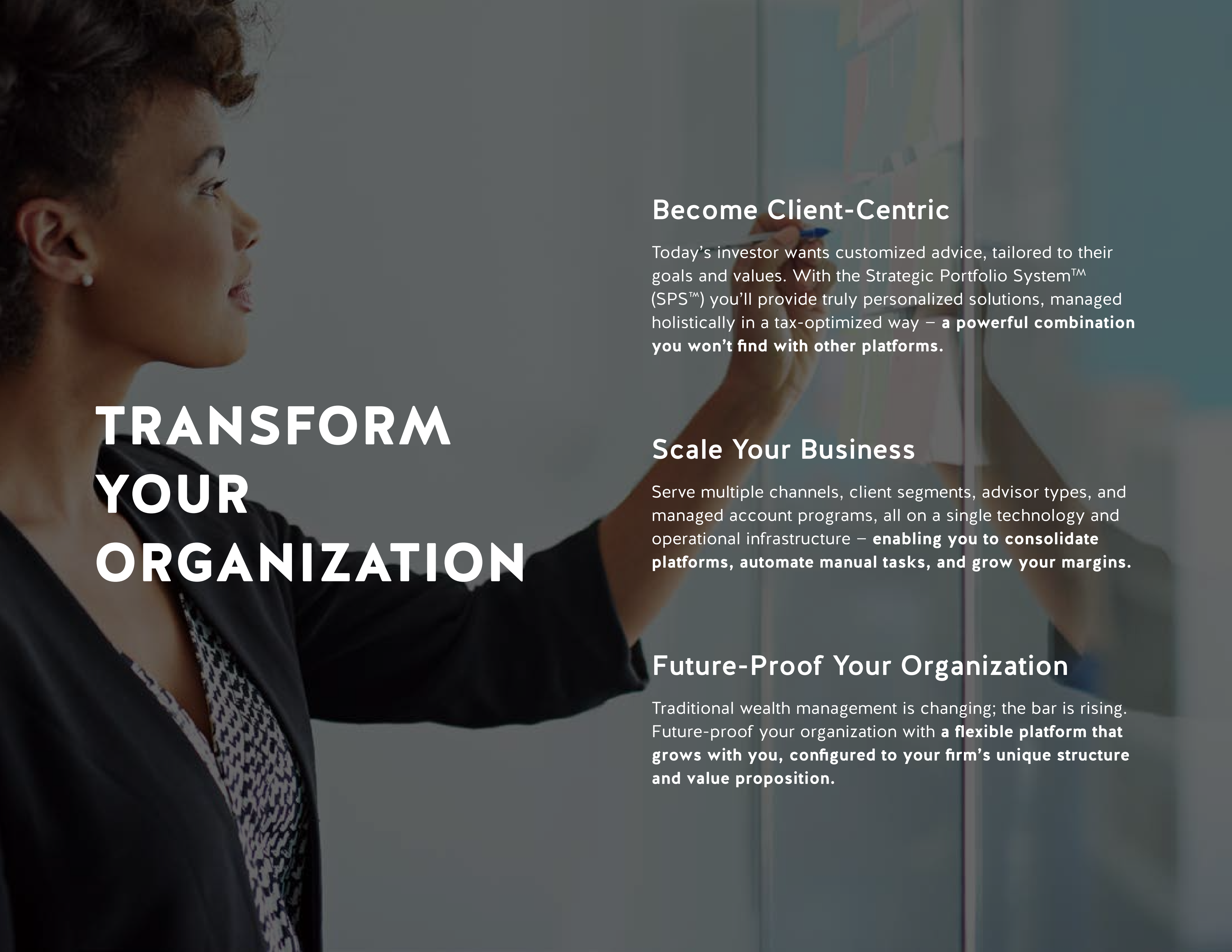
The STRATEGIC PORTFOLIO SYSTEM™

Enterprise wealth management
for the digital age

MYVEST



TRANSFORM YOUR ORGANIZATION

Become Client-Centric

Today's investor wants customized advice, tailored to their goals and values. With the Strategic Portfolio System™ (SPS™) you'll provide truly personalized solutions, managed holistically in a tax-optimized way – **a powerful combination you won't find with other platforms.**

Scale Your Business

Serve multiple channels, client segments, advisor types, and managed account programs, all on a single technology and operational infrastructure – **enabling you to consolidate platforms, automate manual tasks, and grow your margins.**

Future-Proof Your Organization

Traditional wealth management is changing; the bar is rising. Future-proof your organization with **a flexible platform that grows with you, configured to your firm's unique structure and value proposition.**

THE STRATEGIC PORTFOLIO SYSTEM™

End-to-end enterprise wealth management platform

HOLISTIC HOUSEHOLD MANAGEMENT

MyVest's proprietary household-based data model is engineered to recognize the relationships between household members, tax registrations, accounts, and portfolios.

More than just household reporting, this unique data model ensures that every aspect of the platform reflects this household perspective.

ADVISE

Provide personalized, goals-based, holistic, tax-aware portfolios – at scale

Strategy & Model Management

Portfolio Construction: Build custom strategies or use centrally-provided building blocks (asset allocations, internal & third-party models)

Enrollment in all Managed Account Programs: UMA/UMH, MF/ETF Wrap, Rep-as-PM, SMA on a single system

Personalization: Capture Investment Policy Statement (IPS) guidelines, substitution rules, tax profile, and liquidity requirements

Centralized Control: Advisors create portfolios within guardrails and use centrally-approved components

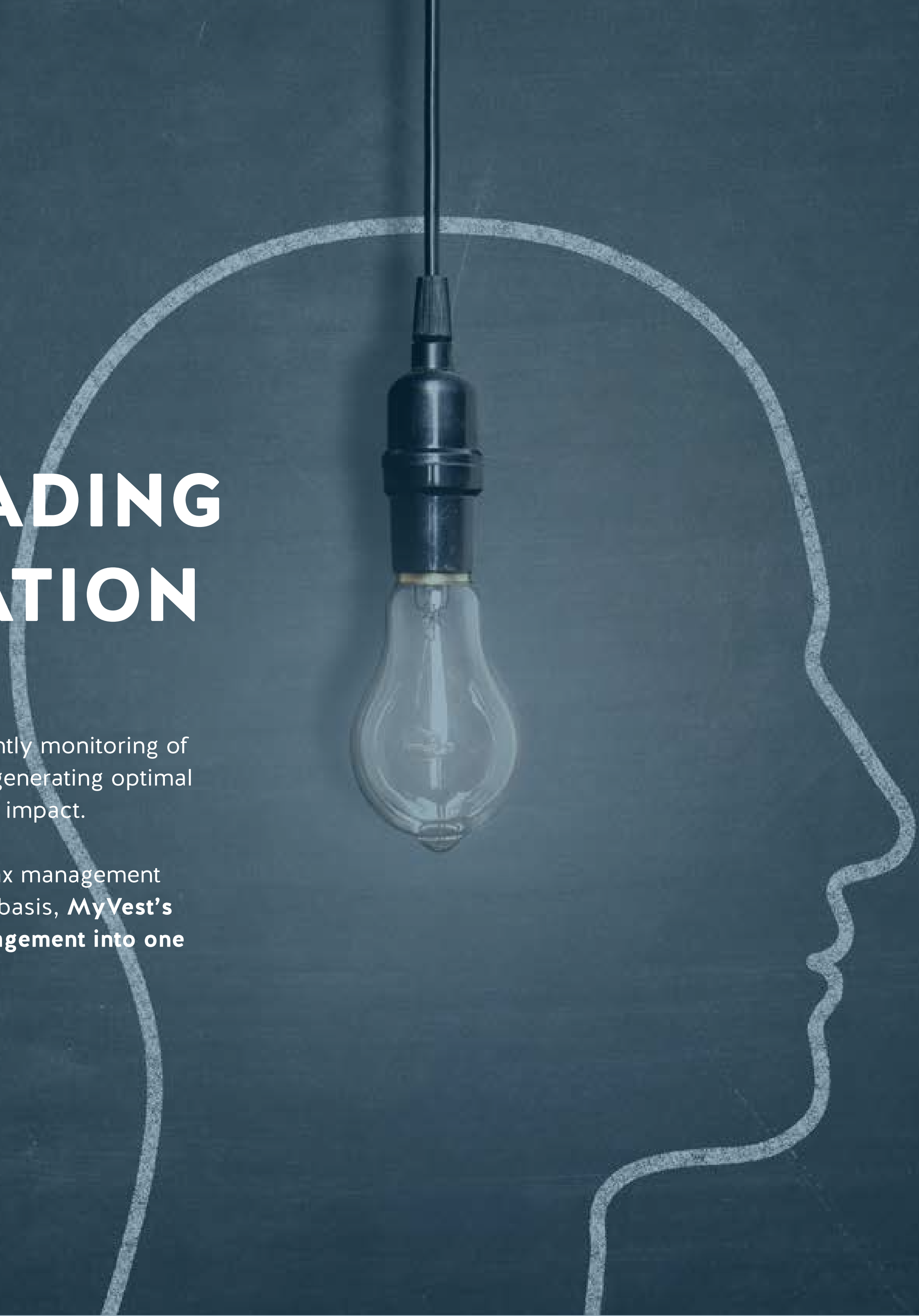
Proposal & Onboarding

Branded Proposal: Investment Policy Statement (IPS) generation and client paperwork

Configurable Workflow: For your organization and advisors

IPS Integration: IPS guidelines are fully integrated with portfolio management workflow for automated compliance

INDUSTRY-LEADING TAX OPTIMIZATION



The Strategic Portfolio System™ orchestrates nightly monitoring of asset allocation and position drift, automatically generating optimal trade orders and balancing tracking error with tax impact.

While other platforms perform rebalancing and tax management as separate discrete processes on a semi-annual basis, **MyVest's Tax Shield™ combines rebalancing and tax management into one coordinated algorithmic nightly process.**

MANAGE

More than just simple rebalancing, SPS™ optimizes risk, tax impact, and trade costs

Tax-Aware Rebalancing

Integrated Cash Management: Tax-aware, time-sensitive cash management

IPS Compliance: Fully integrated pre-trade compliance ensures adherence with IPS

Maximize Tax Alpha: Proactive ongoing tax optimization, taking into consideration the unique tax profile of each client

Dynamic Asset Location: Continuous placement of securities in taxable vs. tax-advantaged accounts based on tax efficiency

Key Sources for Achieving Tax Alpha

Dynamic asset location

Proactive tax-loss harvesting

Best-tax lot relief method

Risk-aware gain deferral

PORTFOLIO MANAGEMENT BEST PRACTICES

Automating & Scaling

Most advisors are challenged to effectively implement the shear volume and complexity of best practices in household management, including:

- Asset allocation
- Product and security diversification
- Personalization
- Daily drift monitoring
- Continual tax optimization
- Coordinated investment among third-party model managers
- Daily automated IPS compliance & oversight

The automation within SPS™ makes it practical and affordable to provide best practice investment management to all your investors.

MANAGE

You make the valuable strategic decisions, and SPS™ implements them for you

Automated Monitoring & Alerts

IPS Compliance Alerts: Proactive post-trade compliance violation alerts

Portfolio Management by Exception: Automatically identify portfolios requiring attention with smart alerts & notifications

Automated Nightly Monitoring: Including material asset allocation & position drift, cash deposits & withdrawals, and tax management opportunities

Order Management

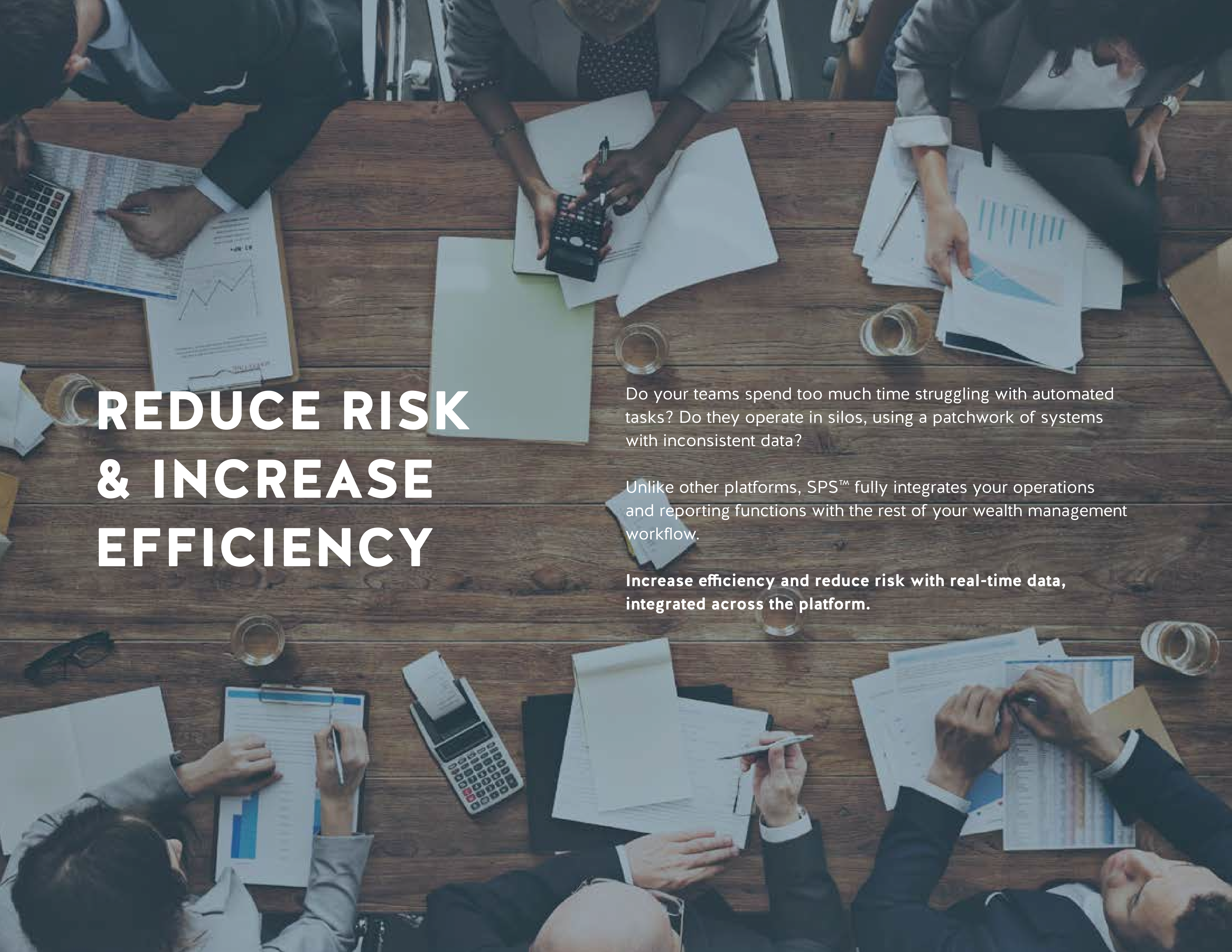
Multi-Portfolio Management: Filter, modify, organize, and trade across unlimited portfolios

Managing Orders: Automated blocking of orders

Routing & Allocation: Sophisticated order routing & allocation processing

Comprehensive Trade Connectivity: Including Sungard Transaction Network, FIXML, and others

Trading Tools: Advanced portfolio trading tools



REDUCE RISK & INCREASE EFFICIENCY

Do your teams spend too much time struggling with automated tasks? Do they operate in silos, using a patchwork of systems with inconsistent data?

Unlike other platforms, SPS™ fully integrates your operations and reporting functions with the rest of your wealth management workflow.

Increase efficiency and reduce risk with real-time data, integrated across the platform.

DELIVER

Streamline your operational infrastructure

Operations & Compliance

Full Integration: Operations and Compliance roles are integrated into the enterprise platform

Reconciliation: Automated trade & position reconciliation

Automatic Alerts: Monitor potential compliance issues (violation of IPS guidelines or firm-wide compliance rules), enabling timely resolution

Reporting & Billing

Daily Performance Calculations:

» Before & after tax, net & gross of fees
» Account, portfolio, and household levels
» Customized on-demand reports and billing

Tax Shield™ Reporting: Allows advisors to demonstrate the value of tax management

Organizational Reporting: By billing group, advisor, and strategist/model manager

Flexible Household Billing: Daily execution and tracking of different fee types (advisor, program, strategy, model, custodian) within a variety of complex fee schedules

CONFIGURE THE RIGHT SOLUTION FOR YOUR ORGANIZATION

Engineered with a flexible organizational structure, **SPS™ can be tailored to fit your operating model,** allowing teams to have their own workflow, program, fee schedule, branding, reports, custodians, and more.

With a robust suite of over 60 APIs, it's easy to integrate with the other systems essential to your success.

THE SPS™ ECOSYSTEM

Easily integrates with your people and your systems

BRIDGE SILOS AND STREAMLINE YOUR OPERATION

Serve Multiple Investor Segments

Offer advisory solutions for all wealth tiers and life stages – so your clients will stick with you as they grow, instead of switching platforms, advisors, or firms.

Enable Team Collaboration

Our role-based system allows your entire organization to operate on the same software and data platform.

Support Multiple Advisor Types

Configure each advisory program within your organization with varying levels of advisor discretion, while you maintain centralized oversight.

Connect to Multiple Custodians

As a multi-custodial system, SPS™ integrates with trust and brokerage platforms to deliver a common experience for the investors, the advisor, and the firm.

REAL-TIME ACCESS ON A SINGLE UNIFIED SYSTEM

Advisors

Branded Proposal & Onboarding: Household-level profiling

Manage by Exception: Smart alerts & notifications

Enrollment Options: Choose centrally-managed or custom built strategies

Investments Team

Strategy & Model Management: Design strategies, establish guardrails, and provide building blocks

Model Manager Portal: Third-party model manager access

Manage Multiple Programs: MF/ETF Wrap, UMA/UMH

Operations Team

Daily Operations: Portfolio accounting, custodian reconciliation, new accounts, quarterly performance reports & billing files

Quality Control: Daily monitoring and resolution of account & data issues – market data feeds, pricing, corporate actions, positions, fees

Investors

Responsive Consumer-Grade UI: For multiple devices

Dynamic, Interactive Reporting: With household views

Digital File Cabinet: For shared documents

ABOUT US

Who we are

MyVest builds enterprise wealth management technology for the digital age. Driven by a vision for client-centric advice, we empower firms to deliver personalized portfolios at scale. Headquartered in San Francisco, we operate as an autonomous subsidiary of TIAA.

What we do

Strategic Portfolio System™ (SPS): Enterprise wealth management platform for the digital age

Portfolio Management Suite: Leverage the core rebalancing & trading components of SPS

Middle Office Operations: Tech-driven middle office for dramatically increased efficiency and scale

Professional Services: Strategic consultation to configure the optimal solution for your organization

Who we work with

We've worked with wealth managers across the spectrum – from large sponsors consolidating a web of disparate legacy systems to startups launching brand new platforms.

Find out how we can help your organization move into the future of wealth management.

Talk to us about creating a custom-configured platform unique to your organizational needs

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